

FORM #4 (W-8BEN SUB1)

TO BE COMPLETED BY NON-US ENTITIES OR INDIVIDUALS RECEIVING FUNDS FOR WORK CONDUCTED WHOLLY OR PARTLY IN THE US WHO ARE NOT CLAIMING TREATY BENEFITS AND FOR WHOM PAYMENT IS NOT “EFFECTIVELY CONNECTED” WITH A US TRADE OR BUSINESS

Please note: Completion of this form will result in withholding at a 30% rate on grant payments

Please Print Clearly:

Recipient Name: _____

Country of incorporation or organization: _____

Permanent residence address: _____
(not a PO Box)

Mailing address: _____

Type of entity: individual corporation
 partnership disregarded entity
 private foundation tax-exempt organization
 other _____

[Individuals: Please note that Form #3 (IRS Form 8233) may be the more appropriate form for your situation. We recommend that you review both forms before deciding which one to complete.]

Please provide your U.S. taxpayer identification number if you have one: _____

Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
- The beneficial owner is not a U.S. person, and

- The income to which this form relates is not effectively connected with the conduct of a trade or business in the United States.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

The Internal Revenue Service does not require your consent to any provisions of this document other than the certifications required to establish your status as a non-U.S. person, and, if applicable, obtain a reduced rate of withholding.

Signature: _____

Date: _____

DEFINITIONS:

Permanent residence address: Your permanent residence address is the address in the country where you claim to be a resident for purposes of that country's income tax. For purposes of claiming a reduced rate of withholding under an income tax treaty, you must determine your residency in the manner required by the treaty. Do not show the address of a financial institution, a post office box, or an address used solely for mailing purposes.

Beneficial owner: The beneficial owner is generally the person who is required under U.S. tax principles to include the income in gross income on a tax return. A person is not a beneficial owner of income, however, to the extent that person is receiving the income as a nominee, agent, or custodian, or to the extent the person is a conduit whose participation in a transaction is disregarded. For additional information on the definition of "beneficial owner" and Form W-8BEN, please see the Instructions for Form W-8BEN, which can be obtained from Internal Revenue Service (www.irs.gov).